



WASHINGTON STATE GAMBLING COMMISSION

LOCATION: 4565 7th Avenue SE, Lacey WA 98503
MAILING ADDRESS: P.O. Box 42400, Olympia WA 98504-2400
TELEPHONE: 360-486-3440 / FAX NUMBER: 360-486-3631
TOLL-FREE: 1-800-345-2529 / WEB SITE: www.wsgc.wa.gov

NOTIFICATION OF LLC OWNERSHIP CHANGE

FEE: \$ _____

See the attached fee schedule (GC5-055K FS)

**IN ACCORDANCE WITH WAC 230-06-107, THE GAMBLING COMMISSION MUST BE NOTIFIED
OF LLC OWNERSHIP CHANGES WITHIN 30 DAYS OF THE TRANSACTION CLOSE.**

**In accordance with RCW 9.46.070(5), special investigative fees may be requested
if costs exceed the basic fee provided with this application.**

Trade Name under
which LLC is Licensed: _____

LLC Name: _____

Mailing Address: _____

City: _____ State: _____ Zip: _____

UBI#: _____

Primary Telephone: _____ FAX: _____

Cell Telephone Number (Optional): _____

Premises Address: _____

City: _____ State: _____ Zip: _____

Telephone: _____

1. List owners prior to ownership change and include percentage: (Attach additional sheets, if necessary.)

a. Last Name: _____

First Name: _____

Middle Name: _____

Title: _____

Number of Units Owned: _____ Percentage of LLC Ownership: _____%

b. Last Name: _____

First Name: _____

Middle Name: _____

Title: _____

Number of Units Owned: _____ Percentage of LLC Ownership: _____%

Business Office Use Only:

Code: 211- _____ Date: _____ Amt: _____ Val #: _____

1. List owners prior to ownership change and include percentage: (Continued)

c. Last Name: _____
First Name: _____
Middle Name: _____
Title: _____
Number of Units Owned: _____ Percentage of LLC Ownership: _____%

2. List owners subsequent to ownership change and include percentages. Complete and submit the attached ***Ownership / Organization Disclosure*** (GC4-021) form, and submit a new copy of your new LLC agreement. (Attach additional sheets, if necessary.)

[illegible]

b. Last Name: _____
First Name: _____
Middle Name: _____
Title: _____
Mailing Address: _____
City: _____ State: _____ Zip: _____
Number of Units Owned: _____ Percentage of LLC Ownership: _____%
Date Acquired: ____/____/____

c. Last Name: _____
First Name: _____
Middle Name: _____
Title: _____
Mailing Address: _____
City: _____ State: _____ Zip: _____
Number of Units Owned: _____ Percentage of LLC Ownership: _____%
Date Acquired: ____/____/____

Managing Member Signature is Required – See Page 3 of Application

3. **If not previously submitted, all persons (and their spouses) who have a substantial interest in the LLC**, as defined by WAC 230-03-045, must complete the attached *Personal / Criminal History Statement* (BLS-700-301). Also, submit a new listing of all LLC members and spouses.
-
4. **Submit a copy of the LLC agreement authorizing this LLC ownership change, and copies of all documents setting out this sale, or unit transfer.** If the units were sold, the *Financial Statement* (GC4-320) and *Source of Funds Statement* (GC4-321) must be completed by the purchasers.

*** * * IMPORTANT * * ***

- 5. If you are a new substantial interest holder, as part of this application, you are required to provide positive proof of identity.** To accomplish this requirement, submit along with this application, a copy of a valid driver's license, a state identification card, or a valid passport. Ensure photograph is identifiable. You may also be required to submit fingerprints; if so, fingerprint cards, with instructions, will be sent to you.

YOUR APPLICATION AND THE PUBLIC RECORDS ACT

From the moment we receive your application, it becomes a public document subject disclosure under to the Public Records Act (RCW 42.56) and other Washington laws. The Commission may disclose to the public, other state or federal agencies, or discuss at a public meeting all information set forth in this application and all supplemental information submitted.

OATH OF HIGHEST-RANKING INDIVIDUAL OR DESIGNEE

I declare under penalty of perjury, under the laws of the State of Washington, that all information provided on this application is true and complete to the best of my knowledge. **I understand that untruthful, misleading, or incomplete answers whether through misrepresentation, concealment, inadvertence, or mistake, are cause for suspension or revocation of any gambling license(s) currently held, or denial of any future applications for a new license.**

I understand that I am responsible to know and comply with all rules and laws, RCW 9.46 and WAC 230, which can be found on the Internet websites of the Washington State Gambling Commission (<https://www.wsgc.wa.gov/regulation-enforcement>) or the Washington State Legislature (<http://leg.wa.gov/>). In the event I am the Designee, I also acknowledge that the Highest-Ranking Individual is also responsible to know and comply with all previously referenced rules and laws.

Full Legal Name and signature of Highest-Ranking Individual or Designee:

Last Name: |

First Name: |

Middle Name: |

Signature: _____ Date: |_|_| / |_|_| / |_|_|_|_|
Sole Proprietor / Chief Executive Officer / LLC Manager / All Partners / Designee MM / DD / YYYY



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OWNERSHIP / ORGANIZATION DISCLOSURE

Type of Legal Entity: ☐ Corporation ☐ LLC ☐ Partnership ☐ Other: _____

1. Name: _____
(Corporate Name, LLC Name, or Partnership Name (General, LP, LLP))

Business Mailing Address: _____

City: _____ State: _____ Zip: _____

UBI#: _____

Telephone: _____ FAX: _____

Cell: _____

E-Mail Address: _____
@ _____

2. Trade Name: _____

3. Total Shares / Stock of Corporation only: _____ Total Shares Issued: _____

4. Complete the following information for:

- Corporation: All Officers & Stockholders
- LLC: Managers & all LLC members
- Partnership: All partners

For organizations with multi-level ownership, submit an attachment showing the organization's complete ownership structure.

ALL substantial interest holders (owners, officers, shareholders, and partners) **MUST** be disclosed on this form or attached sheets (see WAC 230-03-045).

NOTE: Provide full legal name for an individual or name of legal entity. Add additional sheets (in the format shown) as required.

a. Last Name / Legal Entity: _____

First Name: _____

Middle Name: _____ Birthdate: _____ / _____ / _____

Title: _____

Mailing Address: _____

City: _____ State: _____ Zip: _____

Date Acquired: _____ / _____ / _____

LLC / Corporation: Percentage of Ownership: _____% Units / Shares Owned: _____

b. Last Name / Legal Entity: _____

First Name: _____

Middle Name: _____ Birthdate: _____ / _____ / _____

Title: _____

Mailing Address: _____

City: _____ State: _____ Zip: _____

Date Acquired: _____ / _____ / _____

LLC / Corporation: Percentage of Ownership: _____% Units / Shares Owned: _____

c. Last Name / Legal Entity: _____
First Name: _____
Middle Name: _____ Birthdate: ____/____/____
Title: _____
Mailing Address: _____
City: _____ State: _____ Zip: _____
Date Acquired: ____/____/____
LLC / Corporation: Percentage of Ownership: _____% Units / Shares Owned: _____

YOUR APPLICATION AND THE PUBLIC RECORDS ACT

OATH OF APPLICANT

I declare under penalty of perjury, under the laws of the State of Washington, that all information provided on this application is true and complete to the best of my knowledge. **I understand that untruthful, misleading, or incomplete answers whether through misrepresentation, concealment, inadvertence, or mistake, are cause for suspension or revocation of any gambling license(s) currently held, or denial of any future applications for a new license.**

I understand that I am responsible to know and comply with all rules and laws, RCW 9.46 and WAC 230, which can be found on the Internet websites of the Washington State Gambling Commission (<https://www.wsgc.wa.gov/regulation-enforcement>) or the Washington State Legislature (<http://leg.wa.gov/>). In the event I am the Designee, I also acknowledge that the Highest-Ranking Individual is also responsible to know and comply with all previously referenced rules and laws.

Print Full Legal Name:

Last Name: |

First Name: |

Middle Name: |

Signature: _____ Date: |_|_| / |_|_| / |_|_|_|_|
Sole Proprietor / Chief Executive Officer / LLC Manager / All Partners / Designee MM / DD / YYYY

Application Prepared By:

Last Name:

First Name:

Middle Name:

Primary Phone: Cell:

E-Mail Address:

@



STATE OF WASHINGTON
BUSINESS LICENSING SERVICE

PO Box 9034
Olympia, WA 98507-9034
360-705-6741

LICENSE NUMBER _____

UBI NUMBER _____

Personal/Criminal History Statement

(For Liquor, Lottery, Gambling and Cigarette/Tobacco Wholesaler/Retailer Endorsements)

Please type or print clearly in dark ink. Complete all spaces or print N/A in spaces that do not apply.

Type of Endorsements(s) you are applying for: (Provide a copy of this form to each agency. See page 2) ☐ LOTTERY (complete page 1 only)

☐ LIQUOR ☐ GAMBLING ☐ CIGARETTE/TOBACCO Wholesaler/Retailer ☐ VAPOR PRODUCTS Delivery/Retailer/Sales

BUSINESS NAME: (DBA or trade name)				
BUSINESS LOCATION ADDRESS: Street or Route	City	County	State or Country	Zip Code

I AM A: ☐ SOLE PROPRIETOR ☐ CORPORATE OFFICER ☐ STOCKHOLDER ☐ FINANCIER ☐ LLC MEMBER/MGR ☐ SPOUSE
(Check all that apply) ☐ PARTNER Title: _____ 10% or more ☐ MANAGER ☐ OTHER: _____

NAME: (Last, First, Middle)		Maiden	SOCIAL SECURITY NUMBER:	
HOME MAILING ADDRESS: (Street or PO Box)		City	County	
State or Country:	Zip Code:	HOME PHONE:	WORK/CELL PHONE:	
HOW LONG LIVING AT HOME ADDRESS ABOVE:	HEIGHT:	WEIGHT:	EYE COLOR:	HAIR COLOR:
BIRTHDATE: (Month, Day and Year)	SEX: ☐ MALE ☐ FEMALE	RACE:	DRIVER'S LICENSE NUMBER & STATE OF ISSUE:	
ARE YOU A U.S. CITIZEN? ☐ YES ☐ NO	If NO, give alien registration/entry visa/work permit number(s):		PORT OF ENTRY:	DATE OF ENTRY: (Month, Day and Year)
SPOUSE'S NAME: (Last, First, Middle)		Maiden	DATE OF MARRIAGE: (Month, Day and Year)	

LICENSE HISTORY

List any business licenses that you have ever held, currently applied for, or have been denied/revoked/suspended in any state.

TYPE	LICENSE NUMBERS	BUSINESS NAME	STATE	LAST YEAR HELD
GAMBLING				
LIQUOR				
LOTTERY				
OTHER				

CRIMINAL HISTORY STATEMENT

Have you EVER: 1. Been arrested or cited? 3. Been convicted? 5. Been placed on probation? ☐ YES ☐ NO
2. Been charged with a crime? 4. Been Jailed? 6. Forfeited bail or paid a fine over \$25 (Include traffic fines)?

You must answer "YES" if any of the above have occurred, **even if charges were dismissed, deferred or changed**. Explain each charge fully below and attach additional sheets as needed. False or incomplete information may result in denial, suspension or revocation of a license. **You must include events that occurred while you were a juvenile.**

OFFENSE DATE	OFFENSE	CITY	COUNTY	STATE	DISPOSITION AND DATE

CERTIFICATION

I certify under penalty of perjury that all answers and statements on page 1 and 2 are true, correct and complete. I understand that untruthful or misleading answers are cause for denial of a license and/or revocation of any license granted. I hereby authorize investigation of my criminal history, financial records and other sources as necessary for licensing.

SIGNATURE: X		
PRINT NAME:	DATE SIGNED:	PLACE SIGNED: (City, County and State)
If applying for gambling license, elected chief executive officer or employer must also sign this form.		
SIGNATURE: X		
PRINT NAME:	DATE SIGNED:	PLACE SIGNED: (City, County and State)

Continue on to the backside of this form.

Personal/Criminal History Statement (Page 2)

LICENSE NUMBER _____

UBI NUMBER _____

ADDITIONAL PERSONAL HISTORY

PLACE OF BIRTH: <i>City</i>	County	State or Country		
OTHER NAMES USED:		PREVIOUS SOCIAL SECURITY NUMBER:		
PLACE OF MARRIAGE: <i>City</i>	County	State or Country	Zip Code	
MILITARY SERVICE: <i>(Branch and dates of service)</i>	COUNTRY OF MILITARY SERVICE:	TYPE OF DISCHARGE:		
E-MAIL ADDRESS:		FAX NUMBER:		

EMPLOYMENT HISTORY

List employment, self-employment, military, unemployment and school attendance for the **last 10 consecutive years** (including foreign residences). **If more space is needed, attach additional sheets in the same format.**

Dates From - To:	TITLE:	SUPERVISOR:			
EMPLOYER/SCHOOL:					
ADDRESS: <i>(Street or Route)</i>	City	County	State or Country	Zip Code	
Dates From - To:	TITLE:	SUPERVISOR:			
EMPLOYER/SCHOOL:					
ADDRESS: <i>(Street or Route)</i>	City	County	State or Country	Zip Code	
Dates From - To:	TITLE:	SUPERVISOR:			
EMPLOYER/SCHOOL:					
ADDRESS: <i>(Street or Route)</i>	City	County	State or Country	Zip Code	

RESIDENCE INFORMATION

You must list all places of residence for the **last 10 consecutive years** (include foreign residences). List current residence first. **If more space is needed, attach additional sheets in same format.**

Dates From - To:	STREET ADDRESS:			
	CITY:	COUNTY:	STATE or COUNTRY:	ZIP CODE:
Dates From - To:	STREET ADDRESS:			
	CITY:	COUNTY:	STATE or COUNTRY:	ZIP CODE:

APPLICANT: YOU MUST MAKE COPIES FOR EACH OF THE AGENCIES YOU HAVE CHECKED ON PAGE 1 OF THIS FORM

LIQUOR CONTROL BOARD
PO BOX 43098
OLYMPIA WA 98504-3098

LOTTERY COMMISSION
PO BOX 43027
OLYMPIA WA 98504-3027

GAMBLING COMMISSION
PO BOX 42400
OLYMPIA WA 98504-2400

CIGARETTE/TOBACCO
PO BOX 43094
OLYMPIA WA 98504-3098



Washington State Gambling Commission
Licensing Division
P.O. Box 42400
Olympia, WA 98504-2400

UBI NUMBER
LICENSE NUMBER

FINANCIAL STATEMENT

AS OF (SPECIFY DATE): _____

NOTE: This financial statement form must be completed for each organization and individual required to be backgrounded as part of this license application.

BUSINESS NAME (DBA or trade name)

THIS FINANCIAL STATEMENT IS FOR: (Choose either No. 1 or No. 2)

☐ **1. AN INDIVIDUAL (can be joint for husband and wife)**

I AM A: (Check appropriate boxes) ☐ SOLE PROPRIETOR ☐ SPOUSE ☐ LIMITED PARTNER ☐ FINANCIER ☐ LLC MEMBER
☐ CORP. OFFICER Title: _____ ☐ STOCKHOLDER (10% or more) ☐ OTHER: _____

NAME: Last First Middle

HOME MAILING ADDRESS: Street or Route City County State or Country Zip Code

HOME/CELL PHONE ()	WORK PHONE ()	FAX NUMBER ()
------------------------	-------------------	-------------------

☐ **2. A BUSINESS ENTITY**

BUSINESS ENTITY IS A: ☐ CORPORATION ☐ LIMITED PARTNERSHIP ☐ LIMITED LIABILITY COMPANY ☐ LIMITED LIABILITY PARTNERSHIP

NAME OF BUSINESS ENTITY:

HOME MAILING ADDRESS: Street or Route City County State or Country Zip Code

HOME/CELL PHONE ()	WORK PHONE ()	FAX NUMBER ()
------------------------	-------------------	-------------------

A	ANNUAL INCOME (all household)	AMOUNT	B	PERSONAL INFORMATION
	Salary (include spouse & other household salaries)			Other Business Interests (list all over 5% ownership):
	Dividends, Bonus and Commissions			
	Other Income (rental, investment interest)			
	TOTAL INCOME			

ASSETS (If additional space is required, attach separate sheet)

C CHECKING ACCOUNTS

BANK NAME	ACCOUNT TYPE	ACCOUNT NUMBER	BALANCE	AUTHORIZED SIGNERS
Total			\$	

D SAVINGS ACCOUNTS

BANK NAME	ACCOUNT TYPE	ACCOUNT NUMBER	BALANCE	AUTHORIZED SIGNERS
Total			\$	

E STOCKS, BONDS, MUTUAL FUNDS, IRA's, 401K's

COMPANY	INVESTMENT TYPE (mutual fund, stock, IRA, etc.) & ACCT #	DATE ACQUIRED	# OF SHARES/FACE VALUE	MARKET VALUE	AUTHORIZED SIGNERS
Total				\$	

F NOTES AND ACCOUNTS RECEIVABLE (monies owed to you or your business)				
FROM WHOM (Full name, address and phone number)	CURRENT BALANCE	MONTHLY PAYMENT	DATE ACQUIRED	DUE DATE
Total		\$		

G BUSINESS AND OTHER INVESTMENTS			
BUSINESS INVESTMENT NAME	FAIR MARKET VALUE	ANNUAL REVENUES	DATE ACQUIRED
Total		\$	

H REAL ESTATE OWNED					
ADDRESS OF PROPERTY	DATE ACQUIRED	PURCHASE PRICE	NAME ON TITLE	LAND/BUILDING VALUE	MORTGAGE BALANCE
Total					\$

I MORTGAGES, LEASES AND CONTRACTS OWNED (paid to you/receivable)							
DESCRIPTION OR ADDRESS	FULL NAME OF DEBTOR	PHONE	PAYMENT SCHEDULE	AMOUNT PAST DUE	ORIGINAL BALANCE	PRESENT BALANCE	INTEREST RATE
Total						\$	

J AUTOMOBILES, BOATS AND OTHER VEHICLES (industrial, recreational, farm)					
MAKE, MODEL, DESCRIPTION	YEAR	DATE ACQUIRED	NAME ON TITLE	PURCHASE PRICE	FAIR MARKET VALUE
Total					\$

K MISCELLANEOUS PROPERTY (jewelry, collections, gae/kye)				
DESCRIPTION	DATE ACQUIRED	PURCHASE PRICE	CURRENT BALANCE	FAIR MARKET VALUE
Total				\$

LIABILITIES (If additional space is required, attach separate sheet)				
L NOTES AND TAXES PAYABLE (owed by you)				
TO WHOM (Full name, address and phone number)	ORIGINAL DATE OBLIGATED	CURRENT BALANCE	MONTHLY PAYMENT	DUE DATE
Total		\$		

M ACCOUNTS AND BILLS PAYABLE (owed by you)				
TO WHOM (Full name, address and phone number)	ORIGINAL DATE OBLIGATED	CURRENT BALANCE	MONTHLY PAYMENT	DUE DATE
Total		\$		

N CONSUMER DEBTS/STUDENT LOANS (credit cards, auto, other)					
TYPE OF DEBT	NAME OF LENDER	ORIGINAL BALANCE	INTEREST RATE	MONTHLY PAYMENT	CURRENT BALANCE
Total					\$

O LEASES, MORTGAGES AND CONTRACTS OWING (paid by you/payable) Include Rent Payments							
PROPERTY ADDRESS	FULL NAME OF LENDER/LANDLORD	PHONE	CURRENT BALANCE	AMOUNT PAST DUE	MONTHLY PAYMENT	ORIGINAL BALANCE	INTEREST RATE
Total				\$			

P COURT ORDERED PAYMENTS				
TO WHOM	ORIGINAL ORDERED AMOUNT	DATE OF ORDER	MONTHLY PAYMENT	CURRENT BALANCE
Total				\$

GENERAL INFORMATION	
*Attach additional pages to fully explain any "YES" answers to the questions below. Attach copies of documents and court papers.	
1) Is anyone a guarantor, endorser or co-signer on any of your personal or business debts, contracts, leases or other liabilities?	☐ YES ☐ NO
2) Are there any outstanding judgments against you or any business in which you had 5% or more ownership or financial interest?	☐ YES ☐ NO
3) Have you or any business in which you had 5% or more ownership or financial interest been directly or indirectly obligated on any loan which resulted in foreclosure, transfer of title in lieu of foreclosure, or judgments?	☐ YES ☐ NO
4) Are you or any business in which you had 5% or more ownership or financial interest presently delinquent or past due on any federal debt or any other loans or financial obligations?	☐ YES ☐ NO
5) Have you or any business in which you had 5% or more ownership or financial interest ever been required to make court-ordered payments?	☐ YES ☐ NO
6) Have you or any business in which you had 5% or more ownership or financial interest been a defendant in any suits or legal actions regarding financial matters within the last five years?	☐ YES ☐ NO
7) Have you ever filed for personal bankruptcy, or been part of a business in which you had 5% ownership or financial interest that has filed for bankruptcy?	☐ YES ☐ NO

CERTIFICATION

I certify that this Financial Statement (pages 1, 2 and 3) represents my true financial status as of this date. I hereby authorize investigation of my financial records and other sources as necessary for licensing.

SIGNATURE

TITLE (if corporate officer)

DATE

FOR AGENCY USE ONLY

TOTAL ASSETS (ITEMS C-K): _____

TOTAL LIABILITIES (ITEMS L-P): _____

NET WORTH (NET WORTH = ASSETS - LIABILITIES): _____



Washington State Gambling Commission
Licensing Division
P.O. Box 42400
Olympia, WA 98504-2400

UBI NUMBER

LICENSE NUMBER

SOURCE OF FUNDS STATEMENT

AS OF: _____

NOTE: A separate Source of Funds Statement must be completed by the business and each individual who has provided funds to the business.

BUSINESS NAME (DBA or trade name)

THIS SOURCE OF FUNDS STATEMENT IS FOR: (Choose **either** No. 1 or No. 2)

☐ **1. AN INDIVIDUAL (can be joint for husband and wife)**

I AM A: (Check appropriate boxes) ☐ SOLE PROPRIETOR ☐ SPOUSE ☐ PARTNER ☐ FINANCIER ☐ LLC MEMBER

☐ CORP. OFFICER Title: _____ ☐ STOCKHOLDER (10% or more) ☐ OTHER: _____

NAME: Last First Middle

HOME MAILING ADDRESS: Street or Route

City State or Country Zip Code

HOME / CELL PHONE WORK PHONE FAX NUMBER
() () ()

☐ **2. A BUSINESS ENTITY**

BUSINESS ENTITY IS A: ☐ CORPORATION ☐ LIMITED PARTNERSHIP ☐ LIMITED LIABILITY COMPANY

NAME OF BUSINESS ENTITY:

MAILING ADDRESS: Street or Route

City State or Country Zip Code

HOME / CELL PHONE WORK PHONE FAX NUMBER
() () ()

OUTLINE OF COSTS

Please disclose ALL COSTS involved in opening the business. If you are making a change to your current business that already has a license, outline only the costs involved with this change to your business.
Attach additional sheets if needed.

COSTS	DOLLAR AMOUNT
Real property purchase amount OR lease deposit plus first month's rent	\$
Stock / Shares	\$
Business assets (to include equipment, inventory, trade name, good will, etc.) OR the cost associated with opening the new business.	\$
Remodeling costs OR costs to change your currently licensed premise.	\$
Miscellaneous fees (such as license/gambling, legal/architectural, health/city-county permit, etc.) / Other	\$
GRAND TOTAL OF COSTS	\$

SOURCE OF FUNDS AND CERTIFICATION

Please explain carefully ALL funds used to get into business or change your current license. Your explanation needs to include all costs outlined on page 1 and should equal or exceed the Grand Total of Costs.
Attach additional sheets if needed.

DOLLAR AMOUNT	INSTRUCTIONS	EXPLANATION (Attach documentation of the following source of funds)
CASH PAID / CONTRIBUTION \$ _____	Explain the original source of the cash used (checking, savings, investment accounts, trust funds, money on hand, etc.). Explain where the cash is or was kept and the account number.	
CASH BORROWED / RECEIVED \$ _____	Explain where the cash was borrowed or received from (bank, finance company, credit card, insurance fund, personal loan or gift of money, etc.). Provide the name and address of the lender and the account loan number.	
DEFERRED CONTRACT \$ _____	Explain any amounts being carried on a contract (such as a purchase and sale agreement or seller carried promissory note).	
NON-CASH CONTRIBUTIONS \$ _____	Explain any non-monetary contributions, such as labor or equipment.	
TOTAL OF FUNDS \$ _____	This amount should equal or exceed the grand total of costs from Page 1.	

CERTIFICATION

I certify, under penalty of perjury, that this Source of Funds Statement represents my true source of funds status as of this date, and my contribution to this business. I hereby authorize investigation of my financial records and other sources as necessary for licensing.

Signature: _____

Print Name: _____

Date: _____

WASHINGTON STATE GAMBLING COMMISSION
FEE SCHEDULE – COMMERCIAL STIMULANT / PROFIT-SEEKING ORGANIZATION

Per WAC 230-05-124, all licensed organizations must submit quarterly license fees and license reports to us for each licensed gambling activity beginning with the first quarter of their license year. The quarterly license fee is due with the quarterly license report.

WAC 230-05-165 Commercial stimulant organization fees. All commercial stimulant organizations must pay the following fees:

(1) Annual licenses:

License Type	Base License Fee	Gross Gambling Receipts Rate	Maximum Annual License Fee
Card Games - Nonhouse-Banked	\$70	1.550%	\$32,000
Card Games - House-Banked	\$11,000	1.550%	\$64,000
Punch Boards / Pull-Tabs	\$770	1.516%	\$20,800

(2) Change fees:

Change of:	Fee
Name	\$110
Location	\$110
Business Classification (Same Owners)	\$110
Corporate Stock / Limited Liability Company Shares / Units	\$110, and cost reimbursement for investigating the transaction and qualification of each substantial interest holder
License Transfers	\$110

(3) Other fees:

Transaction	Fee
Duplicate License	\$55

WAC 230-05-170 Fees for other businesses. All other business organizations must pay the following fees:

(1) Annual licenses or permits:

License Type	Base License Fee	Gross Gambling Receipts Rate	Maximum Annual License Fee
Agricultural Fair Bingo (Annual Permit)	\$220	-	-
Call Centers for Enhanced Raffles	\$5,280	-	-
Commercial Amusement Games	\$550 plus \$70 per approved location	1.198%	\$17,600
Distributor	\$770	1.516%	\$11,200
Fund-Raising Event Distributor	\$310	1.516%	\$1,600
Linked Bingo Prize Providers	\$1,650	.048%	\$32,000
Manufacturer	\$1,650	1.516%	\$40,000
Manufacturer's Special Sales Permit	\$275	-	-
Punch Board/Pull-Tab Service Business Permit	\$275	-	-

License Type	Base License Fee	Gross Gambling Receipts Rate	Maximum Annual License Fee
Gambling Service Supplier	\$330	1.516%	\$11,200
Major Sports Wagering Vendor	\$30,000		
Mid-Level Sports Wagering Vendor	\$5,000		
Ancillary Sports Wagering Vendor	\$2,000		

(2) Events or permits:

License or Permit Type	Base License Fee	Gross Gambling Receipts Rate	Maximum Annual License Fee
Recreational Gaming Activity	\$70	-	-
Special Property Bingo	\$35	-	-

(3) Change fees:

Change of:	Fee
Name	\$110
Location	\$110
Business Classification (Same Owners)	\$110
Corporate Stock / Limited Liability Company Shares / Units	\$110, and cost reimbursement for investigating the transaction and qualification of each substantial interest holder
License Transfers	\$110

(4) Other fees:

Transaction	Fee
Add a New Amusement Game Location	\$70
Defective Punch Board / Pull-Tab Cost Recovery Fees	Up to \$110
Duplicate License	\$55
Pre- and Post-Licensing Investigations	Cost reimbursement
Review, Inspection, and/or Evaluation of Gambling Equipment, Supplies, Services, Games, Schemes, or Group 12 Amusement Games	Deposit and cost reimbursement

WAC 230-05-175 Individuals license fees. Individuals must pay the following fees:

(1) Annual license and additional employer fees:

License Type	New Application Fee	Annual Renewal Fee	Additional or Change of Employer Fee
Call Center for Enhanced Raffle Representative	\$275	\$170	-

WASHINGTON STATE GAMBLING COMMISSION
FEE SCHEDULE – COMMERCIAL STIMULANT / PROFIT-SEEKING ORGANIZATION

(1) Annual license and additional employer fees:

License Type	New Application Fee	Annual Renewal Fee	Additional or Change of Employer Fee
Card Room Employee License – Nonhouse-Banked (Class A)	\$200	\$95	\$65
Card Room Employee License – Class F And House-Banked (Class B)	\$275 (in-state) \$340 (out-of-state)	\$170	\$65
Charitable or Nonprofit Gambling Manager	\$200	\$95	\$95
Commercial Gambling Manager	\$200	\$95	\$95
Distributor Representative	\$275	\$170	\$65
Linked Bingo Prize Provider Representative	\$275	\$170	\$65
Manufacturer Representative	\$275	\$170	\$65
Gambling Service Supplier Representative	\$275	\$170	\$65
Major Sports Wagering Vendor Representative	\$275	\$170	\$65

(1) Annual license and additional employer fees:

License Type	New Application Fee	Annual Renewal Fee	Additional or Change of Employer Fee
Mid-Level Sports Wagering Vendor Representative	\$275	\$170	\$65
Ancillary Sports Wagering Vendor Representative	\$275	\$170	\$65

(2) Class B card room employees must pay the out-of-state application fee if over the last ten years the applicant lived outside of Washington for six non-consecutive months or more.

(3) Other service fees:

Transaction	Fee
Change of name	\$30
Card room employee emergency waiver request	\$65
Duplicate license	\$30

(4) Military personnel returning from service

If a license expires while an individual is on active military service, the individual may apply to have their license reissued at the renewal fee. The application must be received within six months after completing their active military service. The applicant must provide evidence of the completion date of active military service.

NOTE: All fees are subject to change. The Commission will notify licensees of amended fee amounts by notice of rule-making. Per RCW 9.46.070, other fees on this schedule, the Commission will assess applicants all actual investigative and inspection costs.

OTHER HELPFUL WACs:

WAC 230-05-104 Defining "base license fee." (1) "Base license fee" is the fee you pay us when you:
 (a) Apply for an organization license or permit; or
 (b) Renew your organization's license or annual permit.
 (2) "Base license fee" is the minimum annual license fee a licensed organization or permit holder will pay for operating an authorized activity.

WAC 230-05-106 Defining "maximum annual license fee." "Maximum annual license fee" is the most you will pay to operate an authorized activity for the license year, which includes the:

- (1) Base license fee; and
- (2) Quarterly license fees.

WAC 230-05-122 Calculating quarterly license fees. (1)

The quarterly license fee is calculated based on the gross gambling receipts from the previous quarter as reported on your quarterly license report.

(2) Each license year, the quarterly license fees will be offset by the base license fee. (For example, if your base license fee is sixty-five dollars and your quarterly license fee is forty-five dollars for the first quarter, no additional amount is due for the first quarter. You would offset any future quarterly license fees by the remaining twenty dollars of your base license fee.)

WAC 230-05-124 Quarterly license fees and license reports. All licensed organizations must submit quarterly license fees and license reports to us for each licensed gambling activity beginning with the first quarter of their license year. The quarterly license fee is due with the quarterly license report.

The quarterly license reports must be in the format we require and must:

Cover the period:	Be received by us no later than:
January 1 through March 31	April 30
April 1 through June 30	July 31
July 1 through September 30	October 31
October 1 through December 31	January 31

(2) Be received online at our administrative office or postmarked no later than the dates indicated in the table in subsection (1) of this section; and

(3) Be submitted even if there is no quarterly license fee payable to us; and

(4) Be accurate; and

(5) Be completed by the highest ranking executive officer or a designee. If someone other than the licensee or an employee prepares the report, the preparer must include his or her name and business telephone number on the report; and

(6) Be submitted for any period of time the license was valid even if there was no gambling activity or the gambling license was not renewed.